

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
110218

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
09/937,626

INTERNATIONAL APPLICATION NO.  
PCT/JP00/02175

INTERNATIONAL FILING DATE  
April 4, 2000

PRIORITY DATE CLAIMED  
April 12, 1999

TITLE OF INVENTION  
BLADE ATTACHING STRUCTURE OF WIPER UNIT

APPLICANT(S) FOR DO/EO/US  
Akira NAKAZAWA (formerly known as Akira HAYASHI), Yoshinori MASUDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☒ Other items or information: Confirmation of Name Change

10/24/2001 UEDUVIJE 00000078 09937626

01 FC:154

130.00 OP

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/937,626		INTERNATIONAL APPLICATION NO. PCT/JP00/02175		ATTORNEY'S DOCKET NUMBER 110218	
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17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO ....\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130			
Claims	Number Filed	Number Extra	Rate				
Total Claims	- 20 =	0	X \$ 18.00	\$			
Independent Claims	- 3 =	0	X \$ 80.00	\$			
Multiple dependent claim(s)(if applicable)			+ \$270.00	\$			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$			
Reduction by 1/2 for filing by small entity, if applicable.				-			
<b>SUBTOTAL =</b>				\$130.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
<b>TOTAL NATIONAL FEE =</b>				\$130.00			
				Amount to be refunded	\$		
				Charged	\$		

a.	<input checked="" type="checkbox"/>	Check No. <u>124120</u> in the amount of <u>\$130.00</u> to cover the above fees is enclosed.
b.	<input type="checkbox"/>	Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c.	<input checked="" type="checkbox"/>	The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
 OLIFF & BERRIDGE, PLC  
 P.O. Box 19928  
 Alexandria, Virginia 22320

Date: October 22, 2001

NAME: James A. Oliff  
 REGISTRATION NUMBER: 27,075  
  
 NAME: Scott M. Schulte  
 REGISTRATION NUMBER: 44,325

Director of the U.S. Patent  
and Trademark Office  
Box Assignments  
Washington, D.C. 20231

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney Docket No. 110218

To the Director of the U.S. Patent and Trademark Office: Please record the attached original document or copy thereof.

1. A. Name of conveying party(ies):  
Akira NAKAZAWA  
Yoshinori MASUDA

B. Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

2. A. Name and address of receiving party(ies):  
MITSUBA CORPORATION  
2681, HIROSAWACHO 1-CHOME,  
KIRYU-SHI, GUNMA-KEN  
JAPAN

B. Additional name(s) & address(es) attached?  
☐ Yes ☒ No

3. A. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

B. Execution Date: October 17, 2001

4. A. If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

B. Patent Application No.(s) 09/937,626

C. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James A. Oliff

Address: **OLIFF & BERRIDGE, PLC**  
P.O. Box 19928  
Alexandria, VA 22320

6. Total number of applications and patents involved: 1

7. A. Total fee (37 CFR 3.41).....\$ 40

B. Enclosed (Check No. 124121)

8. Credit any overpayment or charge any underpayment to deposit account number 15-0461.


10/24/2001 UEDUVIJE 00000078 09937626

02 FC:581

40.00 DP

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

  
James A. Oliff Registration No. 27,075  
Scott M. Schulte Registration No. 44,325

Date: October 22, 2001

Total number of pages including cover sheet, attachments, and document: 2

**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Akira Nakazawa (formerly known as Akira Hayashi) et al.

Application No.: 09/937,626

Filed: October 22, 2001

Docket No.: 110218

For: BLADE ATTACHING STRUCTURE OF WIPER UNIT

**CONFIRMATION OF NAME CHANGE**

Director of the U.S. Patent and Trademark Office  
Washington, D. C. 20231

Sir:

On April 4, 2000, PCT Application No. PCT/JP00/02175 was filed in Japan. One inventor was identified as Akira Hayashi. Thereafter, as the result of his cancellation of an Adoption Agreement, Akira Hayashi changed his legal name to Akira Nakazawa. Thus, Akira Hayashi and Akira Nakazawa is the same person. A certified copy of the Excerpt of the Census Resister, the legal document used for the name change, is enclosed with a verified translation of the pertinent parts to indicate the name change.

The enclosed Declaration and Assignment thus includes a line out through Hayashi with the now legal name Nazakawa written in and initialed. Furthermore, the Assignment and Declaration have been executed using the correct legal name, Nakazawa.

Applicants thus assert the change in Applicant's name is prior to the filing of the U.S. National Stage Application and does not fall within the provisions of MPEP §605.04(c). However, should the Patent Office believe the filing of the International Application is

considered a filing in the U.S. Patent and Trademark Office, this confirmation of name change is to be considered a Petition under 37 C.F.R. §1.182. The Director is thereby authorized to charge Deposit Account No. 15-0461 for any fee pursuant to 37 C.F.R. §1.182. Two copies of this Confirmation of Name Change are enclosed.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Scott M. Schulte  
Registration No. 44,325

JAO:SMS/sxb

Enclosure:

Certified copy of the Excerpt of  
the Census Register

Date: October 22, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

<p>DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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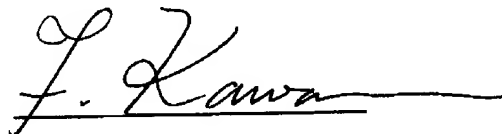
## DECLARATION

I, Fumiko Kawamura, a subject of Japan residing at c/o Hirose Patent Office,  
Nakanishi Bldg. 5th Floor, 2-5-6 Nishikanda, Chiyoda-ku, Tokyo, JAPAN 101-0065  
solemnly and sincerely declare:

That I have thorough knowledge of Japanese and English languages; and

That the attached document contains correct translation into English of the  
Excerpt of Census Register of Mr. Akira NAKAZAWA.

Signed this 22nd day of October, 2001

A handwritten signature in cursive script, appearing to read 'F. Kawamura', written in black ink.

Fumiko Kawamura

Translation of Excerpt of  
NAKAZAWA's Census Register

Permanent Domicile: 4104-4, Ohaza Shika, Kasakakemachi, Nitta-gun,  
Gunma-ken, Japan  
Name : Akira NAKAZAWA

Compiled on February 26, 2001 (Seal of TAMURA)

Akira NAKAZAWA

Father: Hiroshi NAKAZAWA  
Mother: Kiyoko NAKAZAWA  
Third son  
Date of Birth: April 13, 1961

Born at Showamura, Tone-gun on April 13, 1961 and entered in his family register by a  
report of his father dated on April 24 (Seal of TAMURA)

Reported the cancellation of his adoption agreement with his adoptive father, Kisaburo  
HAYASHI and his adoptive mother, Kaneko HAYASHI on February 26, 2001

Entered in NAKAZAWA's family register from Akira HAYASHI's family register having  
the domicile of 2774-4, Ohaza Nakayama, Takayamamura, Azuma-gun  
(Seal of TAMURA)

Certify that this Excerpt of the Census Register is a true copy of original Census  
Register.

September 28, 2001

Katanari TAMURA  
Mayer of Kasakakemachi, Nitta-gun, Gunma-ken

この抄本は、戸籍の原本と相違ないことを認証する。

平成拾參年九月貳拾八日

群馬県新田郡笠懸町長 田村 確也